



**Homes and  
Community Renewal**

**NYS CDBG**

# **Community Development and Public Infrastructure Funding Opportunities for Municipalities**

**Tug Hill Commission  
Local Government Workshop  
May 1, 2024**

# NYS Homes & Community Renewal

- Division of Housing & Community Renewal (DHCR)
- Housing Trust Fund Corporation (HTFC)
- Housing Finance Agency (HFA)
- State of New York Mortgage Agency (SONYMA)
- Affordable Housing Corporation (AHC)

## Development Offices:

- **Office of Community Renewal (OCR)**

**“Local” grant programs – awarded through municipalities & not-for-profits**

*NYS Community Development Block Grant (CDBG), HOME Program, New York Main Street (NYMS), the Neighborhood and Rural Preservation programs, Access to Home, MMHR, RESTORE*

- **Office of Finance and Development (F&D)**

**Multi-family development – direct award and oversight**

*HOME Capital, Federal and State Low Income Housing Tax Credits, tax exempt bonds, and state sources include HTF and CIF.*



# Award Structure



Homes and  
Community Renewal



**Projects**



Homes and  
Community Renewal

# Federal and State Funding Overview



# OCR Programs



- **NYS Community Development Block Grant (CDBG)**
- **HOME - Local Programs**



- **Affordable Housing Corporation (AHC)**
- **New York Main Street Program**
- **Access to Home Programs**
- **RESTORE Program**
- **Mobile and Manufactured Home Replacement (MMHR) Program**

# Program Summary



# CDBG Program Summary

## Overview

Develop viable communities by providing decent housing and a suitable living environment principally for persons of low-and-moderate income.

NYS must ensure that at least 70% of its CDBG grant funds are used for activities that benefit persons of low-and-moderate income (at or below 80% of median) and meet one of the following National Objectives:

- Benefit low-and-moderate income persons or families; or
- Aid in the prevention or elimination of slums or blight; or
- Meet an urgent community development need



# Potential Community Development Activities

**Public Infrastructure**

**Public Facilities**

**Economic Development**

**Small Business Assistance**

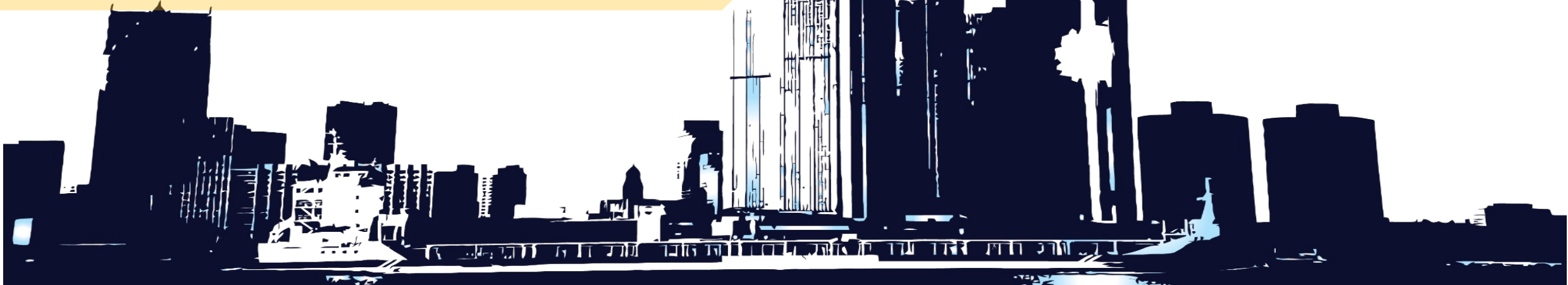
**Microenterprise Development**

**Water and Sewer Infrastructure in Support of Existing Housing**

**Housing Rehabilitation Single Unit**

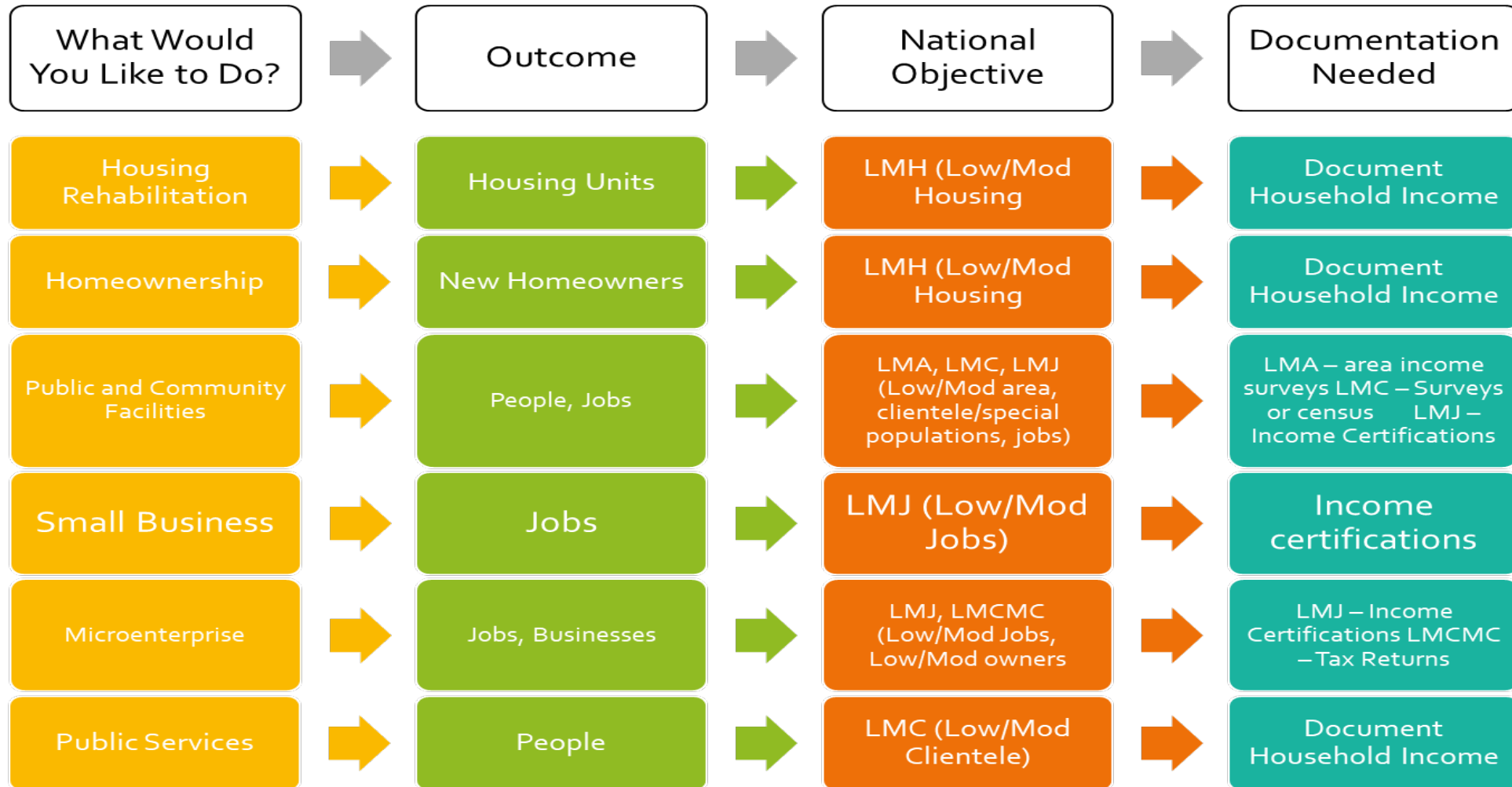
**Housing Rehabilitation Multi-Unit (2-4)**

**Community Planning**





# CDBG Program Summary



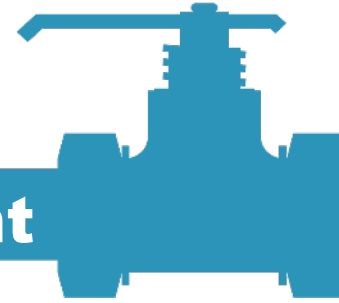
# Funding for Public Infrastructure Projects



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# Public Infrastructure Funding Source

## Public Water/Sewer/Storm Water Management



### NYS CDBG

- Eligible applicants may apply for up to \$2,000,000
- May require consultation with Co-Funding Initiative
- Need to address target user rate of 1.5% of MHI

### Typical activities

- Public Water Systems
  - water source development, storage, and distribution
- Public Sanitary Sewer Systems
  - sanitary sewage collection and treatment
- Storm Water Systems
  - flood control and storm water drainage



# Town of Newfane, Niagara County Wastewater Treatment Facility

|                    |  |
|--------------------|--|
| <b>\$1,000,000</b> | NYS CDBG                                 |
| <b>\$1,849,350</b> | WIIA                                     |
| <b>\$6,812,650</b> | NYS EFC Clean Water State Revolving Fund |
| <b>\$9,662,000</b> | Total Project Cost                       |

# Funding for Public Facility Activities



# Public Facility Funding Source

## NYS CDBG Funding

*Up to \$1,000,000 for  
Cities, Towns, Villages  
and Counties*



Health Care Centers



Senior, Community and  
Day Care Centers



Accessibility  
Modifications



Tree  
Planting



Street  
Lighting



**Homes and  
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# Village of Wolcott, Wayne County New Firetruck



|                  |                    |
|------------------|--------------------|
| <b>\$385,000</b> | NYS CDBG           |
| <b>\$ 29,168</b> | ARPA               |
| <b>\$414,168</b> | Total Project Cost |

# Town of Moriah, Essex County Accessibility Modifications



|           |                    |
|-----------|--------------------|
| \$385,000 | NYS CDBG           |
| \$ 29,168 | ARPA               |
| \$414,168 | Total Project Cost |

**NEW YORK**  
STATE OF OPPORTUNITY

**Homes and  
Community Renewal**

Contract No. 21099 100-19  
**Moriah Town Hall ADA Improvements**  
Expected completion August 2021  
A.J. Catalano Construction Co., Inc. Fred Keel, Architect  
Hudson Falls, NY Monticello, NY

The mission of NYS Homes and Community Renewal is to build, preserve, and protect affordable housing and increase homeownership throughout New York State.

Andrew R. Corra, Governor  
Luthien VanLanduyk, Commissioner/CEO



# Funding for Housing Activities

# NYS CDBG

## Water and Sewer in Support of Existing Housing

- Assistance to manufactured housing parks
- Assistance to large multi-unit housing complex (no rehabilitation)
- Lateral connection assistance, drinking water and sanitary sewer
- Well and septic replacement for eligible property owners

**Maximum Award \$1,500,000**



# The Woodlands Community Infrastructure Improvements Steuben County

The  
**WOODLANDS**  
RESIDENT OWNED  
COMMUNITY  
Est. 2016

 **NEW YORK**  
STATE OF  
OPPORTUNITY.

**Homes and  
Community Renewal**

**Woodlands Community Infrastructure Improvements**  
Expected Completion Date: September 2023  
Developer: John Mills Electric, Inc.

 The mission of NYS Homes and Community Renewal is to build, preserve, and protect affordable housing and increase homeownership throughout New York State 

Kathy Hochul, Governor RuthAnne Visnauskas, Commissioner/CEO

**\$998,796**

NYS CDBG

**\$1,849,350**

WIIA

**\$6,812,650**

NYS EFC Drinking Water State Revolving Fund

**\$9,662,000**

Total Project Cost

# NYS CDBG

## Housing Rehabilitation

Moderate to substantial housing rehabilitation for

- Owner occupied single family
- Owner occupied up to four (4) units
- Non-owner-occupied rental of up to four (4) units
- Accessibility modifications in a manufactured housing unit

**Maximum Award \$750,000**





**Essex County**

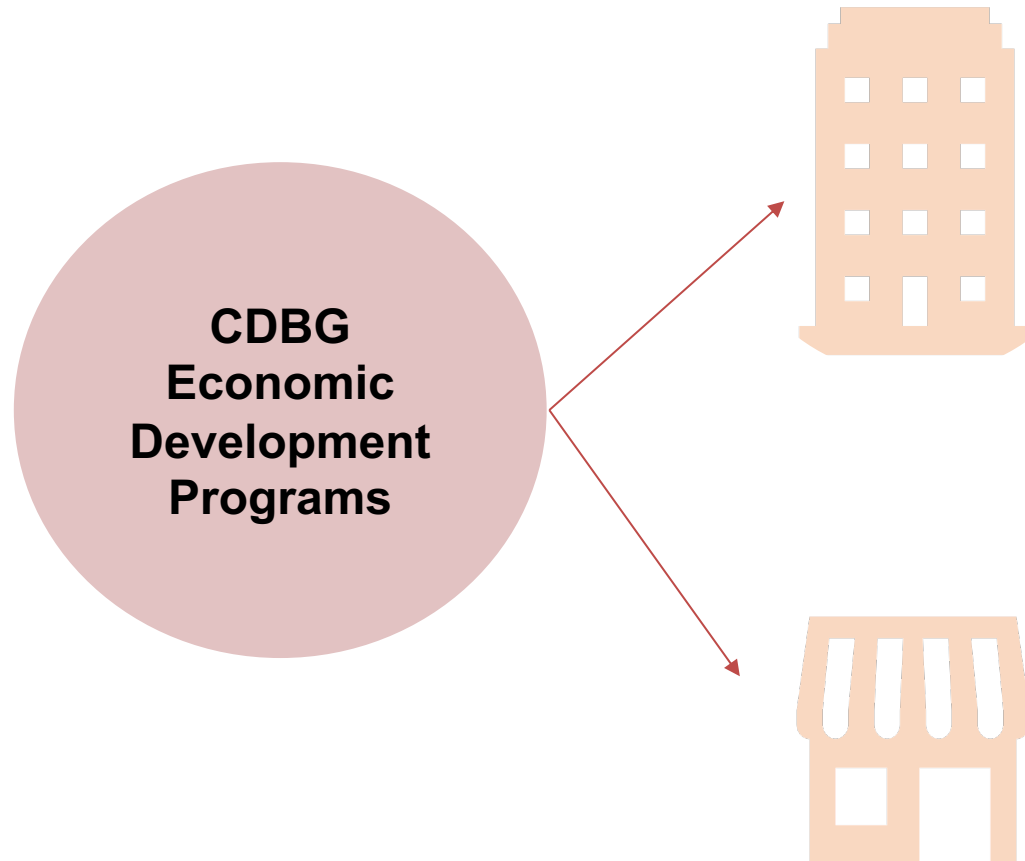


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# Funding for Economic Development Activities



# NYS CDBG Economic Development



“Open Round” – rolling application deadlines

## Stand Alone Projects

- “One business at a time”
- HUD Underwriting required
- Up to \$750K, not to exceed 40% of the total project costs
- Up to \$15K per job
- Additional flexibility for businesses with 26 or fewer employees
- Additional resources for significant equity contribution

|                      |                  |
|----------------------|------------------|
| <b>Maximum Award</b> | <b>\$750,000</b> |
| <b>Minimum Award</b> | <b>\$100,000</b> |

## Microenterprise Assistance

- “Program” of assistance to multiple businesses
- Program design plan required at time of application
- No retention allowed
- Entrepreneurial training required
- Up to \$35K per business/job

|                      |                  |
|----------------------|------------------|
| <b>Maximum Award</b> | <b>\$300,000</b> |
|----------------------|------------------|

# Funding for Community Planning Activities

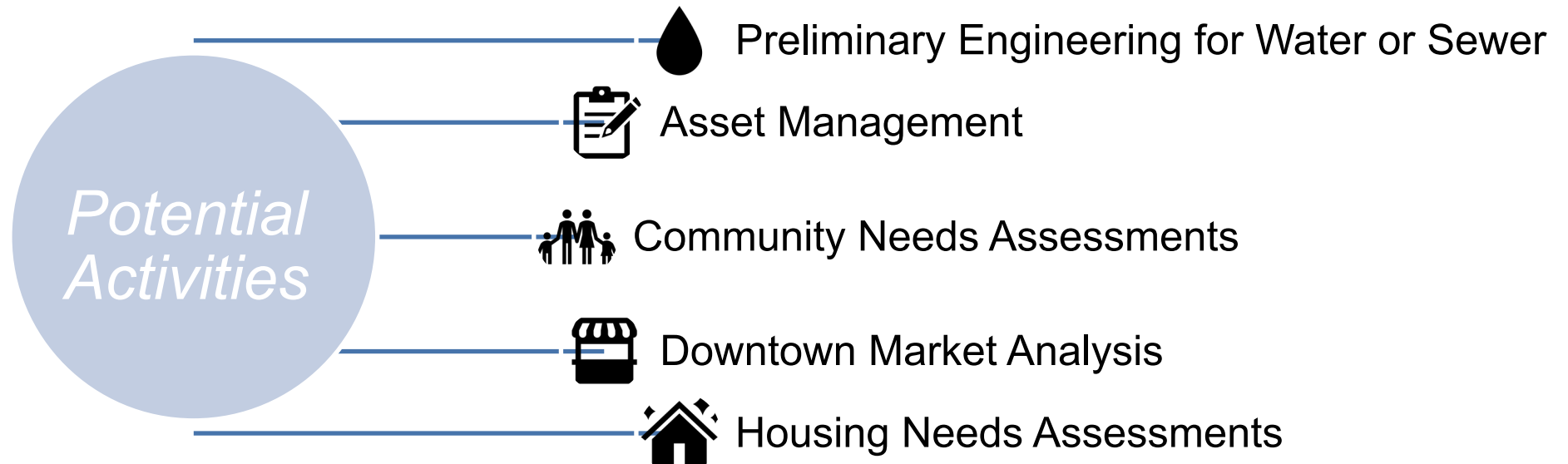




# Community Planning Funding Source

## NYS CDBG

- Up to \$50,000 for Cities, Towns, Villages and Counties



# Federal Program Requirements & Other Useful Information



# Federal Program Requirements

- Build America, Buy America Act
- Section 3 Compliance
- Floodplain Management
- Minority and Women's Business Enterprise (MWBE) (State)
- THPO
- Davis-Bacon Federal Prevailing Wage
- Lead Based Paint Compliance
- Historic Preservation
- Minority and Women's Business Enterprise (MWBE) (Federal)

\*Links provided



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# Useful Tools and Links

- [Low- and Moderate-Income Area Data, based on 2011-2015 ACS \(arcgis.com\)](#)
- [NEPAssist | US EPA](#)
- [NEPAssist Map](#)
- [FEMA's National Flood Hazard Layer \(NFHL\) Viewer \(arcgis.com\)](#)



# Getting Ready to Pursue Funding



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# Are You Prepared to Apply for a HOME or CDBG Grant?



# Begin Planning Now

Projects can take several years from planning to implementation

- Managerial
- Financial
- Technical

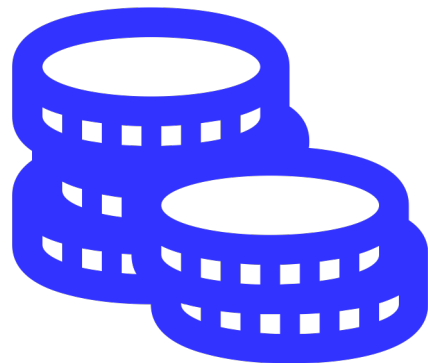
Be cognizant of the demands that will be imposed

- May be turn-over of administration
- May be project opposition



# Identify All Potential Funding Sources

A successful project may require financing from more than one source



Involve representatives from all potential funding agencies early

- Valuable input on design and funding strategies
- How to maximize the project priority



# Take advantage of technical assistance



A variety of no-cost technical assistance (TA):

- Funding agencies,
- Regulators,
- County agencies, and
- Private not-for-profit organizations such as
  - Rural Community Assistance Partnership (RCAP) Solutions
  - NYS Rural Water Association
  - Community Assistance Teams (CATS)
  - Environmental Finance Center at Syracuse University

Other TA, planning, and grant-writing services can be contracted

OCR is available to coordinate and assist with TA

# Applying for Funding

# Application Formats



CFA

## Consolidated Funding Application (CFA)

- Public Infrastructure / Facilities / Community Planning (Annual)
- Economic Development & Microenterprise (Open Round)



CDOL

## Community Development Online (CDOL)

- All Housing Activities
- New applicants must submit an Applicant Registration Form
- Each applicant must have a valid Security Manager
- Application requires electronic certification

# Common Application Deficiencies

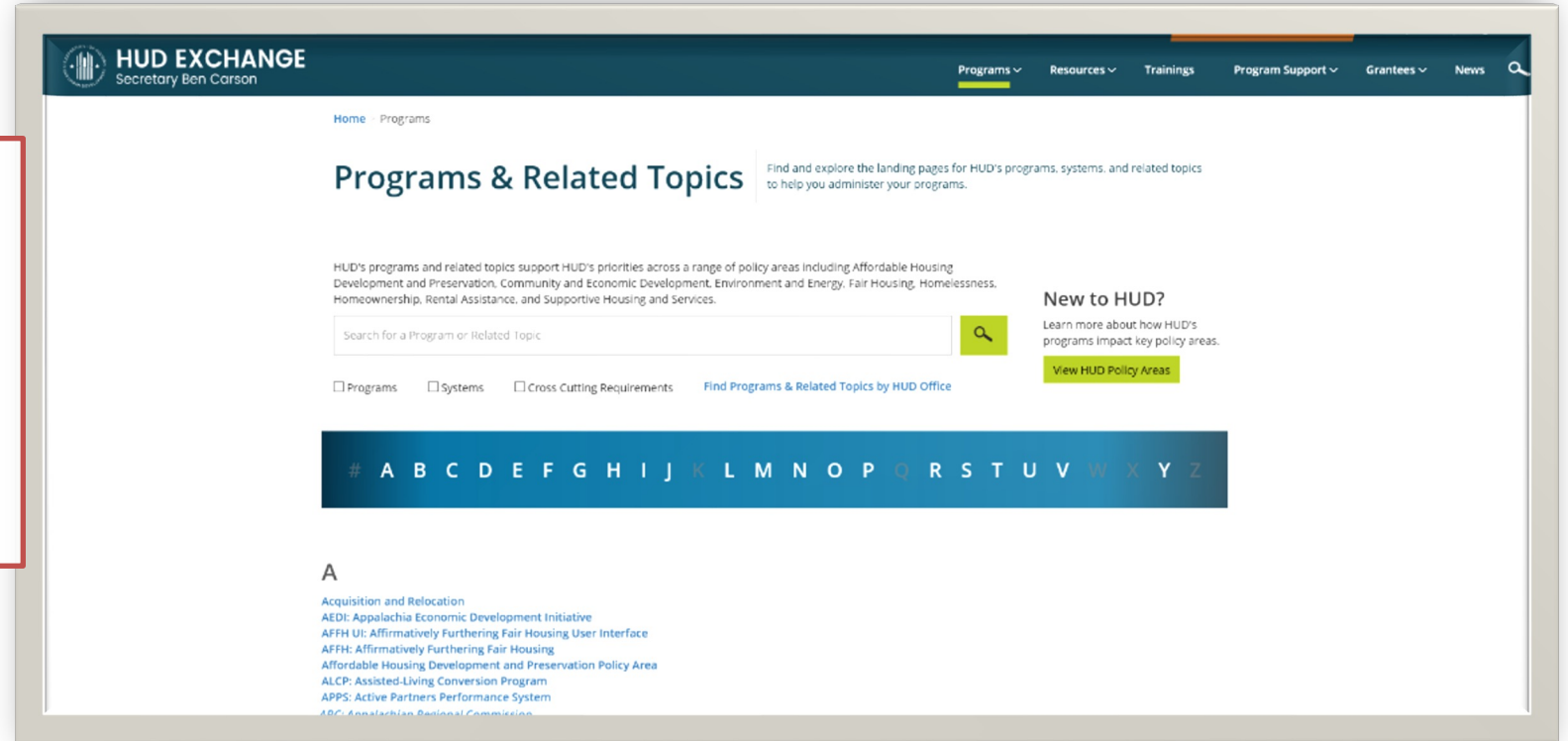
- Unclear answers or confusing information
- Not following instructions
- Budget is not feasible and/or inconsistent
- Schedule is not realistic
- Uploading blank or wrong attachments
- Capacity not demonstrated
- Negative prior OCR grant experience
- Used incorrect application
- Committed choice limiting actions related to environmental review
- Lack of or inadequate public participation (for CDBG)



# HUD Exchange

## CPD Notices

- CPD income calculator
- Toolkits



www.hudexchange.info



Homes and  
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# Upcoming Opportunities

## ***Vacant Property Clearance***

- Clearance of deteriorated and/or distressed buildings
- Must have a blighted influence or pose a public health or safety concern

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**Program****Open****Deadline**

CDBG Housing

5/13/2024

7/31/2024

CDBG Public Infrastructure,  
Facilities & Planning

TBD

TBD

Economic Development

Open Year Round

Vacant Property Clearance Program

TBD





# Additional Information

# Community Development Block Grant



<https://hcr.ny.gov/community-development-block-grant>

## SECTIONS

[CDBG Upcoming Events and Webinars](#)

[Overview](#)

## CDBG Upcoming Events and Webinars

Click here to [sign up for the OCR newsletter](#), get the latest updates, learn about funding opportunities and find out what's trending. Look for the [Join Our Email List](#) at the top of the page.

# Questions

If you have any questions, please contact NYS OCR at [OCRINFO@hcr.ny.gov](mailto:OCRINFO@hcr.ny.gov)

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