Northern Border Regional Commission (NBRC)

Tug Hill Commission Local Government Conference
March 28, 2019
Northern Border Regional Commission

- Established in 2008

- Partnership between the Federal Government and the State’s of Maine, New Hampshire, New York and Vermont

- Purpose is to promote and inspire economic vitality in the rural regions of the member states through infrastructure investments, investments in people, training and communities
Northern Border Regional Commission

- Federally appropriated funding
- Federal and State Government Partnership
  - Federal Staff
    - Co-Chair Harold Parker
  - New York State Staff
    - Mark Pattison, NY Governor’s Alternate
    - Kyle Wilber, NY Program Manager
  - NBRC Staff
    - Christine Frost, Program Director
Investments Across Region

- Historical Funding Analysis (FFY 2010 – 2018)
  - 201 Awards
  - $42,485,597 in Investments

- FFY 2018 Program Year
  - 89 Applications for $26,176,965
  - 46 Grants for $11,546,840
  - Leveraged Match Ratio - 1:4.5
Federal Appropriations

2018 State Economic & Infrastructure Development Grants

$15M Total

Regional Forest Economy Partnership
$7M

2019 State Economic & Infrastructure Development Grants

$20M Total

$3M

$4M
State Economic and Infrastructure Development Grant

Federal Fiscal Year 2019
State Economic & Infrastructure Development Grant

- March 29: Letters of Notification (appreciated)
- May 10: Applications Due
- July 26 – August 2: Grant Award Announcements
- August 30: Signed Grant Agreements to NBRC
- September 2- October 18: Mandatory Grant Recipient Webinar
- September 30, 2020: Must receive Notice to Proceed
State Economic & Infrastructure Development Grant

Total Funds Available for 2019
$14,072,853

Approximately Allocated evenly across the four states
New York State $3.5+ Million
State Economic & Infrastructure Development Grant

Northern Border Regional Commission Distress Criteria 2019

- Distressed %20 Minimum Required
- Transitional %50 Minimum Match Required
- Attainment non eligible county
State Economic & Infrastructure Development Grant

Eligible Applicants
- State governments, local governments and Indian tribes
- Regional planning commissions, state authorities

Ineligible Applicants
- For-profit entities, LLCs and other entities that are not a 501(c)
- Normally eligible entities, deemed ineligible due to prior federal or state funding history
- Prior recipients with less than 75% project completion
State Economic & Infrastructure Development Grant

Eligible Funding Categories
- Infrastructure (transportation, basic public infrastructure and telecommunications)
  Workforce education, entrepreneurship, and business development
- Basic health care and other public services to assist economically distressed areas
- Resource conservation, tourism, recreation, and preservation of open space
- Development of renewable and alternative energy sources

Ineligible Uses
- Pass-through funding
- Business relation location assistance
- Funding to “supplant” existing federal programs.
- Promotion of unfair competition between businesses
State Economic & Infrastructure Development Grant

Project Funding and Match
- $500,000 for an infrastructure project
- $250,000 for all other project types
- Grants are reimbursements of previously paid and eligible expenses

Project Match
- 20% match in distressed counties
- 50% match in transitional counties
- Federal funds can be used as match
  - All federal funding is capped at 80% per project
State Economic & Infrastructure Development Grant

New York County Designations

1. Montgomery
2. Schenectady
State Economic & Infrastructure Development Grant

Eligible Match

• Volunteer time
• Indirect rate
• Municipal force accounts
• In-kind
• Donations

Multi-State, Multi-County Applications

• Encouraged
• Average the county distress criteria
State Economic & Infrastructure Development Grant

Program Updates from 2018

- State Priorities
- New counties
- Distress Criteria
- Budget Form
- http://www.nbrc.gov/
State Economic & Infrastructure Development Grant

Contract and Project Implementation Requirements
• Grant Agreement signed
• Funding in place
• Ownership interests secured
• Items listed in Grant Agreement Completed
State Economic & Infrastructure Development Grant

Are you prepared to submit an application in 2019?

• Match will be secured by September 2020
• Eligible Entity
• Clear scope of work
• Budget that has been vetted with known costs
• Have ownership/control over property
Northern Border Regional Commission (NBRC)

Regional Forest Economy Partnership

April 1, 2019
Regional Forest Economy Partnership

Purpose:
To address the economic shift produced by the chronic and consistent decline of the forest products industry that has led to displacement of a skilled workforce and significant negative demographic changes in the rural areas of the Northern Border Regional Commission region.

Goal:
Fund projects that, when completed, increase the ability of the four-state region to attract new opportunities, that are highly leveraged, transformational investments that have a fundamental impact on community economic revitalization.
## Regional Forest Economy Partnership

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<th>Letters of Interest Due</th>
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Regional Forest Economy Partnership

All the same regulations and congressional directives apply

• Distress Criteria used to determine minimum required match
• 50% of Appropriations used in Distressed counties
• 40% of Appropriations spent on Infrastructure
• Eligible Applicants
  • Local Governments, Political subdivisions, non-profits, Indian Tribes
• 80% cap on federal dollars in any project
Regional Forest Economy Partnership

Threshold Criteria

Forest Economy Industry Clusters in Northern Border Regional Commission Region 2005 - 2016

Population Change in Northern Border Regional Commission Region 2000-2010

U.S. change: 36.6%

% change in total payroll by county
-50.4 - -29.7
-29.6 - -0.1
0.0 - 13.3
13.4 - 36.6
36.7 - 50.8

U.S. change in total population: 9.7%

% change by county
-10.1 - -2.4
-2.3 - 1.3
1.4 - 3.8
3.9 - 6.2
6.3 - 6.5

Source: U.S. Census

Analysed and Presented by NBRC & Plymouth State University
Regional Forest Economy Partnership

- Evaluation Advisory Team
- Invitation to submit full application
- 2 years to complete projects
- 6 months to complete funding
- Projects that are regional in scope
Northern Border Regional Commission (NBRC)

New York State Program
New York State Program
New York 2018 NBRC Applications by Category

- Transportation (NBRC Priority), $1,632,000
- Telecommunications (NBRC Priority), $352,000
- Basic public infrastructure (NBRC Priority), $4,092,000
- Conservation, Tourism, Recreation, $1,004,030
- Workforce Development, $998,374
- Renewable Energy, $0
- Basic Health Care, $0
New York 2018 NBRC Applications by County

- Cayuga
- Clinton
- Essex
- Franklin
- Fulton
- Hamilton
- Herkimer
- Jefferson
- Lewis
- Madison
- Oneida
- Oswego
- St. Lawrence
- Seneca
- Other
New York NBRC Awards since 2011 - 2017 by Category

- Conservation, Tourism, Recreation, $883,973
- Transportation (NBRC Priority), $644,975
- Telecommunications (NBRC Priority), $211,318
- Workforce Development, $1,141,459
- Renewable Energy, $175,000
- Basic Health Care, $446,000
- Basic public infrastructure (NBRC Priority), $4,389,300

Total: $7,118,337
New York NBRC Awards since 2011 – 2017 by County

- Cayuga: $0
- Clinton: $500,000
- Essex: $1,000,000
- Franklin: $1,500,000
- Fulton: $2,000,000
- Hamilton: $2,500,000
- Herkimer: $3,000,000
- Jefferson: $3,500,000
- Lewis: $0
- Madison: $0
- Oneida: $0
- Oswego: $0
- St. Lawrence: $0
- Seneca: $0
- Other: $0
New York State Review Criteria

Regional Significance and Long-Term Impact

- Job creation and retention
- Investment in downtowns
- Geographic distribution
- Delivery of a critical service
- Uniqueness and innovation

Project Need

- Meets a critical opportunity for communities impacted
Project Impact

- Project outputs and outcomes are clearly defined and reliable
- Cost benefit of the project measured against NBRC investment

Implementation Capacity and Commitment

- Sponsor’s commitment to the project, credentials and track record
- Project readiness, including requisite approvals

Budget, Work Plan and Timeline
New York State Program Examples

2011 Grant
Clayton Local Development Corporation - $250,000

Installation of basic public utilities at the Frink America site on the St. Lawrence River.

Remediated brownfield, required removal of all existing utilities on the site.

Parcel marketed for hotel development.

$20 million investment, creates an estimated 80 full-time equivalent jobs.
New York State Program Examples

2014 Grant
Hudson Headwaters Health Network - $250,000

Construction of a new community health center in Champlain, Clinton County

Built to manage a 30% increase to existing facility.

25,916 square feet health center, located on Route 11, just east of the Northway. Construction is expected to begin this spring and cost about $9 million to build and outfit.
New York State Program Examples

2016 Grant
Clarkson University - $250,000

Conversion of Damon Hall into light manufacturing incubator space, creating new spaces for startups

Building upon Clarkson's Shipley Center for Innovation's success which had created 147 business startups with 382 active projects currently in the pipeline across the North Country region
New York State Program Examples

2013 Grant
Port of Oswego Authority - $192,000

Rail spur construction and additional rail car storage

Only deep-water port on the U.S. shores of Lake Ontario

Enhance the port’s accessibility for international shipping
Contact Information

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